

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant: Henri KLEIN et al.  
Title: AIR-CONDITIONER HOUSING  
Appl. No.: Unassigned  
Filing Date: 01/31/2005  
Examiner: Unassigned  
Art Unit: Unassigned

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

**RELEVANCE OF EACH DOCUMENT**

Documents A1, A2 and A5-A8 listed on the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding International application. Copies of these documents are not being provided since copies should have been provided directly by WIPO under an exchange program between the PTO, the EPO and the JPO. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Documents A3, A5 and A8-A14 were cited by the examiner in Applicants' corresponding German application.

Document A9 discloses a heating or air-conditioning plant for a car.

Document A10 discloses a stop shutter for an air conduit.

Document A11 discloses a damper for an flow duct.

Document A12 discloses a flap used as a ventilator for a heating or air-conditioning system of a motor vehicle which comprises an operating device with a variable extension fixed with one end to a first flap part and with the other end to a second flap part.

Document A13 discloses a heating or air-conditioning for a motor vehicle.

Document A14 discloses a device for heating and/or air conditioning in the interior of a vehicle.

Document A4 claims priority based on document A6.

English translations of the foreign-language documents are not readily available. However, English language abstracts are provided herewith. Consequently, the foreign language documents have been submitted in compliance with the PTO requirements and, therefore, the document should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date January 31, 2005

By



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10/2 Rec'd PTO 31 JAN 2005

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Date Submitted: January 31, 2005

(use as many sheets as necessary)

## Complete if Known

Application Number	Unassigned
Filing Date	01/31/2005
First Named Inventor	Henri KLEIN
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	045956-0103

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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	6,192,698	B1	KAKEHASHI ET AL.	02-27-2001	
	A2	5,975,422		ORDBERG	11-02-1999	
	A3	4,482,009		NISHIMURA ET AL.	11-13-1984	
	A4	6,761,210	B1	AROLD	07-13-2004	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A5	EP	1 070 611	A1	BOSCH AUTOMOTIVE SYSTEMS CORP.	01-24-2001		
	A6	DE	199 19 975	C1	DAIMLER CHRYSLER AG	09-07-2000		Abst.
	A7	WO	96/29211	A1	VALEO KLIMASYSTEME GMBH	09-26-1996		Abst.
	A8	DE	199 19 132	A1	VALEO KLIMASYSTEME GMBH	11-02-1999		Abst.
	A9	DE	197 39 578	C2	BEHR GMBH & CO.	03-11-1999		Abst.
	A10	DE	43 43 367	C2	BEHR GMBH & CO.	06-23-1994		Abst.
	A11	DE	100 56 670	A1	BEHR GMBH & CO.	05-16-2002		Abst.
	A12	DE	100 51 043	A1	BEHR GMBH & CO.	04-18-2002		Abst.
	A13	DE	44 22 120	A1	DAIMLER BENZ AG	01-04-1996		Abst.
	A14	DE	35 14 359	A1	SUEDEDEUTSCHE KUEHLER BEHR	10-23-1986		Abst.

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner  
Signature

/John Ford/ (03/26/2008)

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JF/